

Deborah
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Trost et al

Assignee: APPLIED MATERIALS, INC.

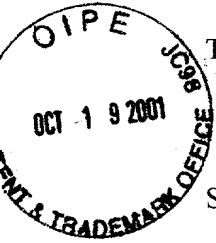
Title: METHOD AND SYSTEM TO ACHIEVE THERMAL TRANSFER
BETWEEN A WORKPIECE AND A HEATED BODY DISPOSED IN A
CHAMBER

Serial No.: 09/838,126

Examiner: Not Yet Assigned

Filing Date: April 20, 2001

Group Art Unit: 1756



Santa Clara, California

Box: MISSING PARTS
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on **August 15, 2001**, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (6 pages);
2. A copy of the Notice to File Missing Parts of Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account **50-1074**;

1. Filing Fee - Original Patent Application (37 CFR 1.16(a)) \$740.00

090312101901
T06T07 92T0600

2. Fees for claims

- | | | |
|-------------------------------------|--|---------|
| <input checked="" type="checkbox"/> | Each independent claim in excess of 3 (37 CFR 1.16(f)) | \$84.00 |
| <input checked="" type="checkbox"/> | Each claim in excess of 20 (37 CFR 1.16(c)) | \$36.00 |
| <input type="checkbox"/> | Each multiple dependent claim (37 CR 1.16(d)) | \$**.00 |
| <input type="checkbox"/> | Late Payment of filing fee - (37 CFR 1.16(e)) | \$00.00 |

Total Completion Fees \$860.00

3. Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

Extension (Months) Fee for other than Small Entity

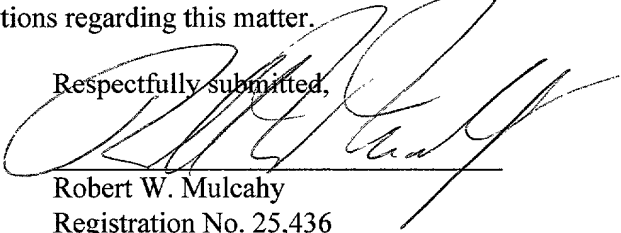
- | | | |
|--------------------------|--------------|------------|
| <input type="checkbox"/> | One Month | \$110.00 |
| <input type="checkbox"/> | Two Months | \$380.00 |
| <input type="checkbox"/> | Three Months | \$870.00 |
| <input type="checkbox"/> | Four Months | \$1,360.00 |

If an additional extension of time is required, please consider this a petition therefor.

4. Late filing of original declaration or oath (37 CFR 1.16(e)) \$130.00
5. Total fees due from items 1-4: \$990.00
6. The Commissioner is hereby authorized to charge total fees due and any additional fees which may be required, or credit any overpayment, to Deposit Account No. **50-1074**.
7. As for the requirement of substitute drawings, applicant's attorney, Kenneth C. Brooks, has been in telephone communication with the Chief Draftsman and has ascertained that the eight sheets of formal drawings as submitted on June 1, 2001 are correct and no substitute drawings are required.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of **April 20, 2001**. Please telephone the undersigned at (408) 563-2726, if there are any questions regarding this matter.

Respectfully submitted,


Robert W. Mulcahy
Registration No. 25,436

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

on

October 15, 2001


Sheri Griffin

T06T01" 92T8E860



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/838,126 | 04/20/2001 | David Trost | 5524/ESI-00-12 |

CONFIRMATION NO. 8689

FORMALITIES LETTER



OC000000006425556

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. Box 450A
Santa Clara, CA 95052

REC'D AUG 21 2001

Date Mailed: 08/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$116.

| | | | | |
|------------|--------|----------|--------|----------|
| 10/23/2001 | NBERHE | 00000058 | 501074 | 09838126 |
| 01 | EC:101 | 740.00 | CH | |
| 02 | EC:105 | 130.00 | CH | |
| 03 | EC:103 | 36.00 | CH | |
| 04 | EC:102 | 84.00 | CH | |

 - \$36 for 2 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 956.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]

0420 #3

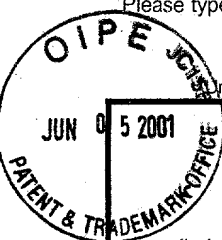
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|---------------------|
| Application Number | 09/838126 |
| Filing Date | April 20, 2001 |
| First Named Inventor | Trost, David et al. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 5524/ESI-00-12-12 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Return Receipt postcard to Kenneth C. Brooks |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | 2. Return Receipt postcard to Applied Materials |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---------------------------------|
| Firm or Individual name | Law Office of Kenneth C. Brooks |
| Signature | <i>Kenneth C. Brooks</i> |
| Date | June 1, 2001 |

CERTIFICATE OF MAILING

| | | | |
|--|--------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: | | | |
| Type or printed name | Smita Patel | | |
| Signature | <i>Smita Patel</i> | Date | June 1, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231